

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sau C. Wong
Assignee: Multi Level Memory Technology
Title: Contactless Bidirectional Nonvolatile Memory
Serial No.: Not assigned yet Filing Date: February 13, 2004
Examiner: Not assigned yet Group Art Unit: Not assigned yet
Docket No.: MLM001US2P

San Jose, California
February 13, 2004

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER CFR § 1.97(b)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants call to the attention of the Examiner the documents listed on the attached PTO Form-1449.

The documents marked with an asterisk were cited or submitted in U.S. Patent Application No. 10/407,894, filed on April 4, 2003, which is a divisional of U.S. Application No. 09/839,973, filed on April 20, 2001, now U.S. Patent No. 6,570,810, which the present application relies on for an earlier effective filing date under 35 U.S.C. 120.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

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OF
DAVID MILLERS
6560 ASHFIELD COURT
SAN JOSE, CA 95120
(408) 927-6700
FAX (408) 927-6701

No fee is believed required for submission of this information disclosure statement. However, the Commissioner is hereby authorized to charge any fees that may be required for consideration of this information disclosure statement to Deposit Account No. 50-1767.

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Respectfully submitted,



David Millers
Attorney for Applicants
Reg. No. 37,396

THE PATENT LAW OFFICES
OF
DAVID MILLERS

6560 ASHFIELD COURT
SAN JOSE, CA 95120
(408) 927-6700
FAX (408) 927-6701

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PTO/SB/08A (10-96)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	Not assigned yet
Filing Date	February 13, 2004
First Named Inventor	Sau Ching Wong
Group Art Unit	Not assigned yet
Examiner Name	Not assigned yet
Attorney Docket Number	MLM001US2P

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1*	5,117,389	B1	Yiu	05/26/1992	ALL
	2*	5,204,835	B1	Eitan	04/20/1993	ALL
	3*	5,268,861	B1	Hotta	12/07/1993	ALL
	4*	5,399,891	B1	Yiu et al.	03/21/1995	ALL
	5*	5,517,448	B1	Liu	05/14/1996	ALL
	6*	5,526,307	B1	Yiu et al.	06/11/1996	ALL
	7*	5,561,624	B1	Chen et al.	10/01/1996	ALL
	8*	5,621,697	B1	Weng et al.	04/15/1997	ALL
	9*	5,633,185	B1	Yiu et al.	05/27/1997	ALL
	10*	5,691,938	B1	Yiu et al.	11/25/1997	ALL
	11*	5,717,636	B1	Dallabora et al.	02/10/1998	ALL
	12*	5,822,242	B1	Chen	10/13/1998	ALL
	13*	5,837,584	B1	Lu et al.	11/17/1998	ALL
	14*	5,959,892	B1	Lin et al.	09/28/1999	ALL
	15*	6,009,013	B1	Van Houdt et al.	12/28/1999	ALL
	16*	6,037,226	B1	Ra	03/14/2000	ALL
	17*	6,084,794	B1	Lu et al.	07/04/2000	ALL
	18*	6,121,670	B1	Hisamune	09/19/2000	ALL
	19*	6,130,452	B1	Lu et al.	10/10/2000	ALL
	20*	6,174,519	B1	Lu et al.	01/16/2001	ALL

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	21*	WOO et al., A Novel Memory Cell using Flash Array Contactless EPROM (FACE) Technology, IEDM 90, (1990), pp. 5.1.1-5.1.4	

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